

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Barnes, Michael D.

DOCKET NO.: 1048.1

SERIAL NO.:

ART UNIT: 1732

FILED:

EXAMINER: Martin Lazena

TITLE: Photonic Polymer-Blend Structures and Method for Making

INFORMATION DISCLOSURE STATEMENT under 37 CFR 1.56 and 1.97

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Submitted herewith on Forms PTO/SB/08A and PTO/SB/08B is a listing of documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. 1.56. A copy of each document is being submitted herewith to comply with the provisions of 37 C.F.R. 1.97 and 1.98.

Applicant presents these references that the Patent Office may determine any relevancy thereof to the presently claimed invention.

Applicant respectfully requests that the references be expressly considered during the prosecution of the subject application and made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also requests that an initialed copy of Forms PTO/SB/08A and PTO/SB/08B be returned in accordance with MPEP Section 609.

Respectfully submitted,

Shelley L. Stafford, *Agent for Applicants*
Reg. No. 38,623
UT-Battelle, LLC
P.O. Box 2008, MS 6498
Oak Ridge, TN 37831
(865) 576-7561

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as EXPRESS MAIL in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on

January 16, 2004

Express Mail No. ER 029150960 US

Please type a plus sign (+) inside this box

+

PTO/SB/08A (10-96)

App. A for use through 10/31/99, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<i>Use a portion of information enclosed in Remarks or File Case Control Number.</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
Application Number			
Filing Date			
First Named Inventor	Barnes, Michael D.		
Group Art Unit			
Examiner Name			
Attorney Docket Number	1048		
Sheet	1	of	1

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-------------------------------	--	----------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(use as many sheets as necessary)			
Sheet	1	of	2
		Application Number	
		Filing Date	
		First Named Inventor	Barnes, Michael D.
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	1048

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.